

Foundation of the Rewriting in an Algebra ¹

V. E. CĂZĂNESCU

Department of Mathematics and Computer Science,
University of Bucharest
Str. Academiei 14, Bucharest, Romania

Abstract. This paper includes two main ideas. The first one, rewriting in an algebra, was introduced in [5]. The second one, boolean rewriting, can be found in many papers but we were never able to find a clear comparison with the classic one. We prefer rewriting in an algebra to term rewriting. This is our way to give a unique theory of rewriting. If the algebra is free, then we get the term rewriting. If the algebra is a certain quotient of a free algebra then we get rewriting modulo equations. Rewriting is said to be boolean when the condition of each conditional equation is of boolean sort (in the free algebra it is a boolean term). We prove the classic rewriting is equivalent to boolean rewriting in a specific algebra, therefore, boolean rewriting is more general than the classic one.

1. Preliminaries

Let Σ be an algebraic S -sorted signature. Let X be an S -sorted set of variables. Let $T_\Sigma(X)$ be the Σ -algebra freely generated by X .

Let $\mathcal{A} = (\{A_s\}_{s \in S}, \{A_\sigma\}_{\sigma \in \Sigma})$ be a Σ -algebra and let z be a variable of sort s , $z \notin A_s$. Let $\mathcal{A}[z]$ be a shorter notation for $T_\Sigma(A \cup \{z\})$ the Σ -algebra freely generated by $A \cup \{z\}$. An element c from $\mathcal{A}[z]$ is said to be *context* if the number of the occurrences of z in c is 1. If $c = \sigma(c_1, c_2, \dots, c_n)$ is a context then there exists $1 \leq i \leq n$ such that c_i is a context and $c_j \in T_\Sigma(A)$ for each $j \neq i$.

For $d \in A_s$, let $z \leftarrow d : \mathcal{A}[z] \longrightarrow \mathcal{A}$ be the unique morphism of Σ -algebras such that $(z \leftarrow d)(z) = d$ and $(z \leftarrow d)(a) = a$ for each $a \in A$. For each t in $\mathcal{A}[z]$ and $a \in A_s$, we prefer to write $t[a]$ instead of $(z \leftarrow a)(t)$.

¹Partially supported by Softwin

1.1. Deduction Rules

We list some families of deduction rules in the set of the formal equalities in a fixed Σ -algebra \mathcal{A} . There is no essential difference between a set of formal equalities in \mathcal{A} and a relation in \mathcal{A} . These rules are called **R**eflexivity, **T**ransitivity, **C**ompatibility with the operations in Σ and **C**ompatibility with each **A**rgument of the operations in Σ :

- R** $a \doteq_s a$ for each $s \in S$ and $a \in A_s$,
- T** $a \doteq_s b$ and $b \doteq_s c$ imply $a \doteq_s c$ for each $s \in S$ and $a, b, c \in A_s$,
- C** Σ $a_i \doteq_{s_i} c_i$ for all $i \in [n]$ imply $A_\sigma(a_1, a_2, \dots, a_n) \doteq_s A_\sigma(c_1, c_2, \dots, c_n)$
for each $\sigma \in \Sigma_{s_1 s_2 \dots s_n, s}$
- C****A** Σ $a \doteq_{s_i} d$ implies
 $A_\sigma(a_1, \dots, a_{i-1}, a, a_{i+1}, \dots, a_n) \doteq_s A_\sigma(a_1, \dots, a_{i-1}, d, a_{i+1}, \dots, a_n)$
for each $\sigma \in \Sigma_{s_1 \dots s_n, s}$, each $i \in [n]$, where $a_j \in A_{s_j}$
for each $j \in \{1, \dots, i-1, i+1, \dots, n\}$ and $a, d \in A_{s_i}$.

Definition 1. A set of the formal equalities in the algebra \mathcal{A} is said to be reflexive, transitive, compatible with operations or compatible with arguments if it is closed under **R**, **T**, **C** Σ or **C****A** Σ , respectively. \square

1.2. Context Closure

Definition 2. A set of the formal equalities Q in the algebra \mathcal{A} is said to be **context closed** if for each context c and for each pair of elements a, d in A , $a \doteq_s d \in Q$ implies $c[a] \doteq_s c[d] \in Q$. \square

Proposition 3. A relation is **context closed** if and only if it is compatible with arguments.

Proof. Suppose Q is context closed. To prove the compatibility with arguments we apply the hypothesis for the context $\sigma(a_1, \dots, a_{i-1}, z, a_{i+1}, \dots, a_n)$.

Conversely, the result is proved by structural induction in $\mathcal{A}[z]$ for contexts.

For $c = z$. For each $a \doteq_s d \in Q$, $c[a] = a$, $c[d] = d$, therefore $c[a] \doteq_s c[d] \in Q$.

For a context $c = \sigma(a_1, \dots, a_{i-1}, c', a_{i+1}, \dots, a_n)$ where $c' \in \mathcal{A}[z]$ is a context and $a_i \in T_\Sigma(A)$ for each i

$$c[a] = (z \leftarrow a)(c) = A_\sigma(a_1[a], \dots, a_{i-1}[a], c'[a], a_{i+1}[a], \dots, a_n[a])$$

and $c[d] = A_\sigma(a_1[d], \dots, a_{i-1}[d], c'[d], a_{i+1}[d], \dots, a_n[d])$. Moreover $a_i[a] = a_i[d]$ for each i .

From inductive hypothesis $c'[a] \doteq_s c'[d] \in Q$ and using the compatibility with arguments we get $c[a] \doteq_s c[d] \in Q$. \square

Definition 4. For each relation Q on A we denote $\longrightarrow_Q = \{c[a] \doteq_s c[d] : a \doteq_s d \in Q, c \in \mathcal{A}[z] \text{ is a context where the variable } z \text{ has the sort } s\}$. Traditionally, we would rather write $a \longrightarrow_Q d$ than $a \doteq d \in \longrightarrow_Q$. \square

Proposition 5. \longrightarrow_Q is the least set of formal equalities in \mathcal{A} which is context closed and includes Q .

Proof. To prove \longrightarrow_Q is context closed, we prefer to show it is compatible with arguments.

Let $c[a] \longrightarrow_Q c[d]$ where $a \doteq d \in Q$, let σ be an operation symbol and let a_i be some element in \mathcal{A} . Using the context $c' = \sigma(a_1, \dots, a_{i-1}, c, a_{i+1}, \dots, a_n)$ we deduce that $c'[a] \longrightarrow_Q c'[d]$. But as above $c'[a] = A_\sigma(a_1, \dots, a_{i-1}, c[a], a_{i+1}, \dots, a_n)$ and $c'[d] = A_\sigma(a_1, \dots, a_{i-1}, c[d], a_{i+1}, \dots, a_n)$ therefore

$$A_\sigma(a_1, \dots, a_{i-1}, c[a], a_{i+1}, \dots, a_n) \longrightarrow_Q A_\sigma(a_1, \dots, a_{i-1}, c[d], a_{i+1}, \dots, a_n).$$

$Q \subseteq \longrightarrow_Q$ is proved using the context z .

If R is context closed, then $Q \subseteq R$ implies $\longrightarrow_Q \subseteq R$. \square

1.3. Closure under preorder compatible with operations

If a set of formal equalities in A is closed under $\mathbf{C}\Sigma$ and \mathbf{R} then it is closed under $\mathbf{CA}\Sigma$.

Lemma 6. (Compatibility) Let ρ be a preorder in A , i.e. it is reflexive and transitive. If ρ is compatible with arguments then ρ is compatible with the operations.

Proof. Let $\sigma \in \Sigma_{s_1 \dots s_n, s}$ and $a_i, b_i \in A_{s_i}$ be such that $a_i \doteq_{s_i} b_i \in \rho_{s_i}$ for each $i \in [n]$.

We show that $A_\sigma(a_1, \dots, a_n) \doteq_s A_\sigma(b_1, \dots, b_n) \in \rho_s$.

If $n = 0$ we get $A_\sigma \doteq_s A_\sigma \in \rho_s$ from reflexivity.

If $n = 1$ we get from $\mathbf{CA}\Sigma$ that $A_\sigma(a_1) \doteq_s A_\sigma(b_1) \in \rho_s$.

If $n \geq 2$ as ρ is compatible with arguments we get for each $1 \leq i \leq n$

$$A_\sigma(b_1, \dots, b_{i-1}, a_i, a_{i+1}, \dots, a_n) \doteq_s A_\sigma(b_1, \dots, b_{i-1}, b_i, a_{i+1}, \dots, a_n) \in \rho_s$$

From the transitivity of ρ we deduce $A_\sigma(a_1, a_2, \dots, a_n) \doteq_s A_\sigma(b_1, b_2, \dots, b_n) \in \rho_s$. \square

We conclude for a reflexive and transitive set of formal equations in \mathcal{A} that it is context closed if and only if it is closed under $\mathbf{CA}\Sigma$ if and only if it is closed under $\mathbf{C}\Sigma$.

Proposition 7. $\xrightarrow{*}_Q$ is the least preorder which is compatible with the operations and which includes Q .

Proof. As $\xrightarrow{*}_Q$ is reflexive and transitive it suffice to prove the compatibility with arguments, i.e. to show for each $\sigma \in \Sigma_{s_1 \dots s_n, s'}$ that $a \xrightarrow{*}_Q d$ implies

$$A_\sigma(a_1, \dots, a_{i-1}, a, a_{i+1}, \dots, a_n) \xrightarrow{*}_Q A_\sigma(a_1, \dots, a_{i-1}, d, a_{i+1}, \dots, a_n).$$

We make an induction on the step number in $a \xrightarrow{*}_Q d$. We suppose $a \longrightarrow_Q u$ and $u \xrightarrow{*}_Q d$ with a less step number, therefore the inductive hypothesis implies

$$A_\sigma(a_1, \dots, a_{i-1}, u, a_{i+1}, \dots, a_n) \xrightarrow{*}_Q A_\sigma(a_1, \dots, a_{i-1}, d, a_{i+1}, \dots, a_n).$$

As \longrightarrow_Q is compatible for arguments, from $a \longrightarrow_Q u$ we deduce

$$A_\sigma(a_1, \dots, a_{i-1}, a, a_{i+1}, \dots, a_n) \longrightarrow_Q A_\sigma(a_1, \dots, a_{i-1}, u, a_{i+1}, \dots, a_n).$$

By transitivity we get

$$A_\sigma(a_1, \dots, a_{i-1}, a, a_{i+1}, \dots, a_n) \xrightarrow{*}_Q A_\sigma(a_1, \dots, a_{i-1}, d, a_{i+1}, \dots, a_n).$$

Obviously $Q \subseteq \longrightarrow_Q \subseteq \xrightarrow{*}_Q$.

Let R be a preorder which is compatible with operations and which includes Q . The compatibility with operations implies $\longrightarrow_Q \subseteq R$, therefore $\xrightarrow{*}_Q \subseteq R$ as R is reflexive and transitive. \square

Using the proprieties of the closure operators we deduce that $R \subseteq Q$ implies $\longrightarrow_R \subseteq \longrightarrow_Q$ and $\xrightarrow{*}_R \subseteq \xrightarrow{*}_Q$.

Denote $u \downarrow_Q v$ if there exists $a \in \mathcal{A}$ such that $u \xrightarrow{*}_Q a$ and $v \xrightarrow{*}_Q a$.

1. Classic Style

Definition 8. A *conditional equation* is

$$(\forall X)l \doteq_s r \text{ if } H$$

where X is a set of S -sorted variables, l and r are two elements of sort s in $T_\Sigma(X)$ and H is a finite set of formal equalities from $T_\Sigma(X)$. \square

A conditional equation in which $H = \emptyset$ becomes an unconditional equation and it is said to be an *equation*. In this case we write only $(\forall X)l \doteq_s r$ instead of $(\forall X)l \doteq_s r \text{ if } \emptyset$.

2.1. Γ -rewriting

In this section we fix a set Γ of conditional equations, called axiomes and a Σ -algebra \mathcal{A} .

We shall use the following deductive rules:

Rew $_\Gamma$ For each $(\forall X)l \doteq_s r \text{ if } H \in \Gamma$ and for each morphism $h: T_\Sigma(X) \rightarrow \mathcal{A}$ ($\forall u \doteq_{s'} v \in H$) ($\exists d \in A_{s'}$) $h_{s'}(u) \doteq_{s'} d$ and $h_{s'}(v) \doteq_{s'} d$ implies $h_s(l) \doteq_s h_s(r)$.

SRew $_\Gamma$ For each $(\forall X)l \doteq_s r \text{ if } H \in \Gamma$ and for each morphism $h: T_\Sigma(X) \rightarrow \mathcal{A}$ ($\forall u \doteq_{s'} v \in H$) ($\exists d \in A_{s'}$) $h_{s'}(u) \doteq_{s'} d$ and $h_{s'}(v) \doteq_{s'} d$ implies $c[h_s(l)] \doteq_{s'} c[h_s(r)]$ for each context $c \in \mathcal{A}[z]_{s'}$.

Note that **SRew $_\Gamma$** , *rewriting in a subterm*, is a stronger deductive rule than **Rew $_\Gamma$** , *rewriting*, which is obtained from **SRew $_\Gamma$** for $c = z$.

If a set of formal equations is closed under \mathbf{Rew}_Γ and $\mathbf{CA}\Sigma$ then it is closed under \mathbf{SRew}_Γ .

We define by induction the increasing sequence of sets of formal equations in \mathcal{A} .

$$Q_0 = \emptyset,$$

$$Q_{n+1} = \{h_s(l) \dot{=}_s h_s(r) : (\forall Y)l \dot{=}_s r \text{ if } H \in \Gamma, h : T_\Sigma(Y) \rightarrow \mathcal{A}, \text{ and } (\forall u \dot{=} v \in H)h(u) \downarrow_{Q_n} h(v)\}.$$

By definition Q is the union of the increasing sequence Q_n . Note that the sequences \rightarrow_{Q_n} and $\xrightarrow{*}_{Q_n}$ are increasing.

Remark that $a \rightarrow_Q d$ implies $\exists n$ such that $a \rightarrow_{Q_n} d$. Also $a \xrightarrow{*}_Q d$ implies $\exists n$ such that $a \xrightarrow{*}_{Q_n} d$.

Proposition 9. $\xrightarrow{*}_Q$ is closed under \mathbf{SRew}_Γ .

Proof. Let $(\forall Y)l \dot{=}_s r$ if H be in Γ , let $h : T_\Sigma(Y) \rightarrow \mathcal{A}$ be a morphism such that $h_s(u) \downarrow_Q h_s(v)$ for each $u \dot{=} v \in H$ and a context $c \in \mathcal{A}[z]_{s'}$. We have to show $c[h(l)] \xrightarrow{*}_Q c[h(r)]$.

As H is finite and the number of steps used in $h_s(u) \downarrow_Q h_s(v)$ where $u \dot{=} v \in H$ is finite there exists an n such that $h_s(u) \downarrow_{Q_n} h_s(v)$ for each $u \dot{=} v \in H$. Therefore $h(l) \dot{=} h(r) \in Q_{n+1}$. As $h(l) \dot{=} h(r) \in Q$ we deduce $c[h(l)] \xrightarrow{*}_Q c[h(r)]$. \square

Proposition 10. $\xrightarrow{*}_Q$ is the least relation closed under \mathbf{R} , \mathbf{T} , $\mathbf{C}\Sigma$ and \mathbf{Rew}_Γ .

Proof. Obviously $\xrightarrow{*}_Q$ is closed under \mathbf{R} , \mathbf{T} and $\mathbf{C}\Sigma$ and it is closed under \mathbf{Rew}_Γ because it is closed under \mathbf{SRew}_Γ .

Let W be a relation closed under \mathbf{R} , \mathbf{T} , $\mathbf{C}\Sigma$ and \mathbf{Rew}_Γ . We prove by induction on n that $Q_n \subseteq W$.

If $n = 0$ we have $Q_0 = \emptyset \subseteq W$.

For $n \geq 1$ let $h(l) \dot{=} h(r) \in Q_n$, where $(\forall Y)l \dot{=}_s r$ if $H \in \Gamma$, $h : T_\Sigma(Y) \rightarrow \mathcal{A}$ and $(\forall u \dot{=} v \in H)h_s(u) \downarrow_{Q_{n-1}} h_s(v)$.

By inductive hypothesis $Q_{n-1} \subseteq W$. As W is closed under $\mathbf{C}\Sigma$ we deduce that W is context closed, therefore $\rightarrow_{Q_{n-1}} \subseteq W$. As W is closed under \mathbf{R} and \mathbf{T} we deduce $\xrightarrow{*}_{Q_{n-1}} \subseteq W$.

From $(\forall u \dot{=} v \in H)h_s(u) \downarrow_{Q_{n-1}} h_s(v)$ we get $(\forall u \dot{=} v \in H)h_s(u) \downarrow_W h_s(v)$. As W is closed under \mathbf{Rew}_Γ we deduce $h(l) \dot{=} h(r) \in W$, therefore $Q_n \subseteq W$.

Hence $Q \subseteq W$ and using proposition 7 we deduce $\xrightarrow{*}_Q \subseteq W$. \square

In the sequel, when Q is defined as above, traditionally we rather write $\xRightarrow{*}_\Gamma$ than $\xrightarrow{*}_Q$. Because of its properties the relation $\xRightarrow{*}_\Gamma$ is said to be Γ -rewriting or shortly rewriting.

3. Boolean Style

We work with a multi-sorted signature (S, Σ) with a distinguished sort $b \in S$ (b from *boolean*) and a distinguished constant operation symbol $t \in \Sigma_{\lambda, b}$ (t from *true*).

Definition 11. A *conditional equation* is

$$(\forall X)l \doteq_s r \text{ if } H$$

where l and r are two elements of sort s and H is an element of sort b from $T_\Sigma(X)$. \square

A conditional equation in which $H = T_\Sigma(X)_t$ (the truth of $T_\Sigma(X)$) becomes an unconditional one and it is said to be *equation*. In this case we write only $(\forall X)l \doteq_s r$ instead of $(\forall X)l \doteq_s r \text{ if } T_\Sigma(X)_t$.

3.1. Boolean Γ -rewriting

In this section we fix a set Γ of conditional equations, called axiomes and a Σ -algebra \mathcal{A} .

We shall use the following deductive rules:

Sub $_\Gamma$ For each $(\forall X)l \doteq_s r \text{ if } H \in \Gamma$ and each morphism $h : T_\Sigma(X) \rightarrow \mathcal{A}$, $h_b(H) \doteq_b A_t$ implies $h_s(l) \doteq_s h_s(r)$.

SSub $_\Gamma$ For each $(\forall X)l \doteq_s r \text{ if } H \in \Gamma$ and each morphism $h : T_\Sigma(X) \rightarrow \mathcal{A}$, $h_b(H) \doteq_b A_t$ implies $c[h_s(l)] \doteq_{s'} c[h_s(r)]$ for each context $c \in \mathcal{A}[z]_{s'}$.

Remark that **SSub $_\Gamma$** (*substitution in a subterm*) is a stronger deductive rule than **Sub $_\Gamma$** (*substitution*) which may be got from **SSub $_\Gamma$** for $c = z$.

If a set of formal equations are closed under **Sub $_\Gamma$** and **CA Σ** then it is closed under **SSub $_\Gamma$** .

We define by induction the increasing sequence of sets of formal equalities in \mathcal{A} .

$$B_0 = \emptyset,$$

$$B_{n+1} = \{h_s(l) \doteq_s h_s(r) : (\forall Y)l \doteq_s r \text{ if } H \in \Gamma, h : T_\Sigma(Y) \rightarrow \mathcal{A} \text{ and } h_b(H) \xrightarrow{*}_{B_n} A_t\}.$$

By definition B is the union of the increasing sequence B_n . Note that the sequences $\xrightarrow{*}_{B_n}$ and $\xrightarrow{*}_{B_n}$ are increasing.

Remark that $a \xrightarrow{*}_B d$ implies $\exists n$ such that $a \xrightarrow{*}_{B_n} d$. Also $a \xrightarrow{*}_B d$ implies $\exists n$ such that $a \xrightarrow{*}_{B_n} d$.

Fact 12. $\xrightarrow{*}_B$ is closed under **SSub $_\Gamma$** .

Proof. Let $(\forall Y)l \doteq_s r \text{ if } H$ be in Γ , $h : T_\Sigma(Y) \rightarrow \mathcal{A}$ a morphism such that $h_b(H) \xrightarrow{*}_B A_t$ and a context $c \in \mathcal{A}[z]_{s'}$. We have to show that $c[h_s(l)] \xrightarrow{*}_B c[h_s(r)]$.

As the number of steps in $h_b(H) \xrightarrow{*}_B A_t$ is finite there exists an n such that $h_b(H) \xrightarrow{*}_{B_n} A_t$. Therefore $h_s(l) \dot{=}_s h_s(r) \in B_{n+1}$. As $h_s(l) \dot{=}_s h_s(r) \in B \subseteq \xrightarrow{*}_B$ and $\xrightarrow{*}_B$ is context closed we deduce $c[h_s(l)] \xrightarrow{*}_B c[h_s(r)]$. \square

Proposition 13. $\xrightarrow{*}_B$ is the least relation closed under **R**eflexivity, **T**ransitivity, **C**ompatibility with the operations in Σ and **S**ub $_{\Gamma}$.

Proof. From proposition 7 and fact 12 we deduce that $\xrightarrow{*}_B$ has the above properties.

Let W a relation which is closed under **R**eflexivity, **T**ransitivity, **C**ompatibility with the operation in Σ and **S**ub $_{\Gamma}$.

By induction we prove $(\forall n)B_n \subseteq W$. Assume $B_n \subseteq W$. As W is closed under **R**, **T** and **C** Σ we deduce $\xrightarrow{*}_{B_n} \subseteq W$. Because W is closed under **S**ub $_{\Gamma}$ we deduce $B_{n+1} \subseteq W$.

Therefore $B \subseteq W$. As W is closed under **R**eflexivity, **T**ransitivity and **C**ompatibility with the operations in Σ we deduce $\xrightarrow{*}_B \subseteq W$. \square

In the sequel, when B is defined as above, instead of $\xrightarrow{*}_B$ we prefer writing $\xRightarrow{*}_{\Gamma}$, relation which because of its properties is said to be, in the sequel, boolean Γ -rewriting or shortly, boolean rewriting.

4. Some algebra

We work with a multi-sorted signature (S, Σ) which we will enrich with a new sort b (from *boolean*), a constant operation symbol $t : \longrightarrow b$ (t from *true*), an operation symbol $\wedge : bb \longrightarrow b$ and for each $s \in S$ an operation symbol

$$==_s : ss \longrightarrow b.$$

The new signature will be denoted (S^b, Σ^b) . Obviously $S^b = S \cup \{b\}$.

We want that the operation \wedge be associative, commutative, idempotent having t as neutral element. Let E^b be the set of the equation

$$x \wedge (y \wedge z) = (x \wedge y) \wedge z$$

$$x \wedge y = y \wedge x$$

$$x \wedge x = x$$

$$x \wedge t = x$$

$$t \wedge x = x$$

$$u ==_s u = t$$

where the variables x, y, z have sort b and the variable u has sort s .

Remark the inclusion of Σ in (Σ^b, E^b) .

The forgetful functor

$$U : Alg(\Sigma^b, E^b) \longrightarrow Alg(\Sigma)$$

has a left adjoint ${}_{-}^b$. Its definition is given in the sequel.

Each Σ -algebra \mathcal{A} is enriched as a (Σ^b, E^b) -algebra \mathcal{A}^b defined by:

1. (A_b, A_\wedge, A_t) is the free idempotent commutative monoid generated by $\{a \doteq_s d : s \in S, a, d \in A_s, a \neq d\}$,
2. operation $==_s$ maps unequal elements a, d in A_s to $a \doteq_s d$, but $a ==_s a = A_t$.

Note that $U(\mathcal{A}^b) = \mathcal{A}$.

Proposition 14. For each (Σ^b, E^b) -algebra \mathcal{B} and for each Σ -algebra morphism $h : \mathcal{A} \longrightarrow U(\mathcal{B})$ there exists a unique Σ^b -algebra morphism $h^\# : \mathcal{A}^b \longrightarrow \mathcal{B}$ such that $U(h^\#) = h$.

Proof. By definition $h_s^\# = h_s$ for each sort s . The function $h_b^\#$ is defined for generators, i.e. for unequal elements a, d in A_s , by

$$h_b^\#(a \doteq_s d) = B_{==_s}(h_s(a), h_s(d)),$$

being then extended in a natural way to a monoid morphism by

$$h_b^\#(A_t) = B_t$$

$$h_b^\#(e_1 A_\wedge e_2 A_\wedge \dots A_\wedge e_n) = h_b^\#(e_1) B_\wedge h_b^\#(e_2) B_\wedge \dots B_\wedge h_b^\#(e_n). \quad \square$$

For each Σ -algebra morphism $h : \mathcal{A} \longrightarrow \mathcal{B}$ we denote $h^b : \mathcal{A}^b \longrightarrow \mathcal{B}^b$ the (Σ^b, E^b) -algebra morphism got as above. Remark that $U(h^b) = h$. Therefore ${}_{-}^b; U = 1_{Alg\Sigma}$.

Let X an S -sorted set of variables. Assuming that the set of variables of sort b is empty, X may be seen as an S^b -sorted set of variables denoted in the sequel X^b . That is

$$\text{if } s \in S \text{ then } X_s^b = X_s \text{ else } X_b^b = \emptyset.$$

If B is a S^b -sorted set then we may identify the sets

$$Set_{S^b}(X^b, B) \quad \text{and} \quad Set_S(X, \{B_s\}_{s \in S}).$$

as for every S^b -sorted function defined on X^b its component of sort b must be the inclusion defined on \emptyset .

Proposition 15. Let $F : Alg(\Sigma^b) \longrightarrow Alg(\Sigma)$ be the forgetful functor. Let \mathcal{B} be an Σ^b -algebra and let X be an S -sorted set of variables. There exists a bijection between

$$Alg_{\Sigma^b}(T_{\Sigma^b}(X^b), \mathcal{B}) \quad \text{and} \quad Alg_{\Sigma}(T_{\Sigma}(X), F(\mathcal{B}))$$

which forgets the component of sort b of the morphism.

Proof. The set $Alg_{\Sigma^b}(T_{\Sigma^b}(X^b), \mathcal{B})$ is in a natural bijection with the set $Set_{S^b}(X^b, B)$ and the set $Alg_{\Sigma}(T_{\Sigma}(X), F(\mathcal{B}))$ is in a natural bijection with $Set_S(X, \{B_s\}_{s \in S})$. We have seen above that the two sets are as identic. \square

If we forget the elements of sort b and the operations those rank involves this sort of a Σ^b -algebra freely generated by X^b we get an Σ -algebra freely generated by X , i.e. $F(T_{\Sigma^b}(X^b)) = T_{\Sigma}(X)$.

5. Some semantics

In an Σ^b -algebra \mathcal{B} let

$$G = \{l_1 \dot{=}_{s_1} r_1, l_2 \dot{=}_{s_2} r_2, \dots, l_n \dot{=}_{s_n} r_n\}$$

be a finite set of formal equalities between elements which have not sort b . We define an element of sort b

$$G^b = l_1 ==_{s_1} r_1 \wedge l_2 ==_{s_2} r_2 \wedge \dots \wedge l_n ==_{s_n} r_n.$$

If \mathcal{B} is not a E^b -algebra, then G^b may not be unique, which case we make a non-deterministic choice.

To pass from classic style to the boolean one we replace each finite set of formal equalities G from \mathcal{A} with G^b an element of sort b in \mathcal{A}^b .

We mention the following specific properties of the algebra \mathcal{A}^b

1. $x \wedge y = A_t$ implies $x = y = A_t$, for each $x, y \in A_b$,
2. $a ==_s d = A_t$ implies $a = d$ for each $s \in S$ and each $a, d \in A_s$.

Lemma 16. If G is a finite set of formal equalities from $T_{\Sigma}(X)$ and $h : T_{\Sigma^b}(X^b) \longrightarrow \mathcal{A}^b$ is an Σ^b -morphism then

$$h_b(G^b) = A_t \quad \text{if and only if} \quad h_s(u) = h_s(v) \text{ for each } u =_s v \in G.$$

Proof. We use the above notation and we remark the following equivalent facts.

1. $h_b(G^b) = A_t$,
2. $h_b(l_1 ==_{s_1} r_1 \wedge l_2 ==_{s_2} r_2 \wedge \dots \wedge l_n ==_{s_n} r_n) = A_t$,
3. $h_{s_1}(l_1) ==_{s_1} h_{s_1}(r_1) \wedge \dots \wedge h_{s_n}(l_n) ==_{s_n} h_{s_n}(r_n) = A_t$,
4. $h_{s_i}(l_i) ==_{s_i} h_{s_i}(r_i) = A_t$ for each $1 \leq i \leq n$,
5. $h_{s_i}(l_i) = h_{s_i}(r_i)$ for each $1 \leq i \leq n$. \square

Each classic Σ -conditional equation

$$\gamma = (\forall X)l \doteq_s r \text{ if } G$$

may be changed in an Σ^b -conditional equation with a condition of sort b

$$\gamma^b = (\forall X^b)l \doteq_s r \text{ if } G^b.$$

Let Γ be a set of classic Σ -conditional equations. We denote $\Gamma^b = \{\gamma^b : \gamma \in \Gamma\}$ a set of the Σ^b -axiomes.

Proposition 17. If \mathcal{A} is an Σ -algebra and γ an Σ -conditional equation then

$$\mathcal{A}^b \models \gamma^b \text{ if and only if } \mathcal{A} \models \gamma.$$

Proof. Using proposition 15 we identify an Σ -morphism h from $T_\Sigma(X)$ to \mathcal{A} and an Σ^b -morphism from $T_{\Sigma^b}(X^b)$ to \mathcal{A}^b . For each such morphism h , from lemma we get the following equivalent facts:

1. if $h_b(l_1 \doteq_{s_1} r_1 \wedge l_2 \doteq_{s_2} r_2 \wedge \dots \wedge l_n \doteq_{s_n} r_n) = A_t$ then $h_s(l) = h_s(r)$,
2. if $h_{s_i}(l_i) = h_{s_i}(r_i)$ for each $1 \leq i \leq n$ then $h_s(l) = h_s(r)$,

and the conclusion follows easily. \square

Corollary 18. If \mathcal{A} is an Σ -algebra and Γ a set of Σ -conditional equations then

$$\mathcal{A} \models_\Sigma \Gamma \text{ if and only if } \mathcal{A}^b \models_{\Sigma^b} \Gamma^b . \square$$

6. Boolean Versus Classic

We show that the classic Γ -rewritings in the Σ -algebra \mathcal{A} have the same power as the boolean Γ^b -rewritings in the Σ^b -algebra \mathcal{A}^b .

Theorem 19. 1. For each $s \in S$, for each $u, v \in A_s$

$$u \xrightarrow{*}_\Gamma v \text{ in } \mathcal{A} \text{ if and only if } u \xrightarrow{*}_{\Gamma^b} v \text{ in } \mathcal{A}^b.$$

2. For $G = \{l_1 \doteq_{s_1} r_1, l_2 \doteq_{s_2} r_2, \dots, l_n \doteq_{s_n} r_n\}$ a set of formal equations with elements of sorts not equal to b

$$(\forall i)l_i \downarrow_\Gamma r_i \text{ in } \mathcal{A} \text{ if and only if } G^b \xrightarrow{*}_{\Gamma^b} A_t \text{ in } \mathcal{A}^b.$$

Proof. 1. Assume $u \xrightarrow{*}_\Gamma v$ in \mathcal{A} . Using the notation from the section ‘‘Classic Style’’ this means $u \xrightarrow{*}_Q v$. As Q is the union of the sequence $\{Q_n\}$ there exists a natural number n such that $u \xrightarrow{*}_{Q_n} v$.

By induction by n . If $n = 0$ then $u = v$ therefore $u \xrightarrow{*}_{\Gamma^b} v$ in \mathcal{A}^b .

Assume $u \xrightarrow{*}_{Q_{n+1}} v$. We do another induction on the number of rewriting steps. In case 0 $u = v$.

Suppose $u \xrightarrow{*}_{Q_{n+1}} w$ and $w \longrightarrow_{Q_{n+1}} v$. Moreover by the inductive hypothesis $u \xrightarrow{*}_{\Gamma^b} w$ in \mathcal{A}^b .

From $w \longrightarrow_{Q_{n+1}} v$ we deduce $w = c[a]$ and $v = c[d]$ where $a \doteq d \in Q_{n+1}$. Therefore there exist $(\forall Y)l \dot{=} r$ if $H \in \Gamma$ and the morphism $h : T_{\Sigma}(Y) \rightarrow \mathcal{A}$ such that $(\forall p \doteq q \in H)h_s(p) \downarrow_{Q_n} h_s(q)$, $a = h_s(l)$ and $d = h_s(r)$.

Therefore for each $p \doteq q \in H$ there exists g_{pg} such that $h_s(p) \xrightarrow{*}_{Q_n} g_{pg}$ and $h_s(q) \xrightarrow{*}_{Q_n} g_{pg}$. From the inductive hypothesis $h_s(p) \xrightarrow{*}_{\Gamma^b} g_{pg}$ and $h_s(q) \xrightarrow{*}_{\Gamma^b} g_{pg}$. We deduce $h_s(p) =_s h_s(q) \xrightarrow{*}_{\Gamma^b} g_{pg} =_s g_{pg} = A_t$.

From proposition 15 morphism h may be seen as a Σ^b -algebra morphism from $T_{\Sigma^b}(Y^b)$ to \mathcal{A}^b . Therefore $h_b(H^b) \xrightarrow{*}_{\Gamma^b} A_t$.

We deduce $c[h_s(l)] \xrightarrow{*}_{\Gamma^b} c[h_s(r)]$, i.e. $w \xRightarrow{*}_{\Gamma^b} v$, therefore $u \xRightarrow{*}_{\Gamma^b} v$.

For the converse we assume $u \xRightarrow{*}_{\Gamma^b} v$ in \mathcal{A}^b . Using the notation in the section "Boolean Style" this means $u \xrightarrow{*}_B v$. As B is the union of the sequence $\{B_n\}$ there exists a natural number n such that $u \xrightarrow{*}_{B_n} v$.

By induction on n . If $n = 0$ then $u = v$ therefore $u \xRightarrow{*}_{\Gamma} v$ in \mathcal{A} .

Assume $u \xrightarrow{*}_{B_{n+1}} v$. We do another induction on the number of rewriting steps. For 0 steps $u = v$.

Suppose $u \xrightarrow{*}_{B_{n+1}} w$ and $w \longrightarrow_{B_{n+1}} v$. Moreover by the inductive hypothesis $u \xRightarrow{*}_{\Gamma} w$ in \mathcal{A} . From $w \longrightarrow_{B_{n+1}} v$ we deduce $w = c[a]$ and $v = c[d]$ where $a \doteq d \in B_{n+1}$. Therefore there exist

$$(\forall Y)l \dot{=} r \text{ if } \{l_1 \dot{=}_{s_1} r_1, l_2 \dot{=}_{s_2} r_2, \dots, l_k \dot{=}_{s_k} r_k\} \in \Gamma$$

and the Σ^b -morphism $h : T_{\Sigma^b}(Y^b) \rightarrow \mathcal{A}^b$, such that

$$h_b(l_1 =_{s_1} r_1 \wedge l_2 =_{s_2} r_2 \wedge \dots \wedge l_k =_{s_k} r_k) \xrightarrow{*}_{B_n} A_t,$$

$a = h_s(l)$ and $d = h_s(r)$. We deduce

$$h_{s_1}(l_1) =_{s_1} h_{s_1}(r_1) \wedge h_{s_2}(l_2) =_{s_2} h_{s_2}(r_2) \wedge \dots \wedge h_{s_k}(l_k) =_{s_k} h_{s_k}(r_k) \xrightarrow{*}_{B_n} A_t.$$

As the Γ^b -rewriting can not be made at top and the algebra \mathcal{A}^b has specific properties $h_{s_i}(l_i) =_{s_i} h_{s_i}(r_i) \xrightarrow{*}_{B_n} A_t$ for each $1 \leq i \leq k$. As the Γ^b -rewriting can not be made at top in $h_{s_i}(l_i) =_{s_i} h_{s_i}(r_i)$ and the algebra \mathcal{A}^b has specific properties for each $1 \leq i \leq k$ there exists $a_i \in A_{s_i}$ such that

$$h_{s_i}(l_i) \xrightarrow{*}_{B_n} a_i \text{ and } h_{s_i}(r_i) \xrightarrow{*}_{B_n} a_i.$$

By the inductive hypothesis $h_{s_i}(l_i) \xRightarrow{*}_{\Gamma} a_i$ and $h_{s_i}(r_i) \xRightarrow{*}_{\Gamma} a_i$, therefore $h_{s_i}(l_i) \downarrow_{\Gamma} h_{s_i}(r_i)$ for each $1 \leq i \leq k$, then $w \xRightarrow{*}_{\Gamma} v$, hence $u \xRightarrow{*}_{\Gamma} v$.

2. Assume $l_i \downarrow_{\Gamma} r_i$ in \mathcal{A} for each i . There exists u_i such that $l_i \xRightarrow{*}_{\Gamma} u_i$ and $r_i \xRightarrow{*}_{\Gamma} u_i$. Using the first item of the theorem we get $l_i \xRightarrow{*}_{\Gamma^b} u_i$ and $r_i \xRightarrow{*}_{\Gamma^b} u_i$. We deduce

$l_1 \equiv_{s_1} r_1 \wedge l_2 \equiv_{s_2} r_2 \wedge \dots \wedge l_n \equiv_{s_n} r_n \xrightarrow{\Gamma^b} u_1 \equiv_{s_1} u_1 \wedge \dots \wedge u_n \equiv_{s_n} u_n = A_t \wedge \dots \wedge A_t = A_t.$

For the converse, suppose that

$$l_1 \equiv_{s_1} r_1 \wedge l_2 \equiv_{s_2} r_2 \wedge \dots \wedge l_n \equiv_{s_n} r_n \xrightarrow{\Gamma^b} A_t.$$

As the Γ^b -rewriting can not be made at top and the algebra \mathcal{A}^b has specific properties we deduce for each $1 \leq i \leq n$ that $l_i \equiv_{s_i} r_i \xrightarrow{\Gamma^b} A_t.$

As the Γ^b -rewriting can not be made at top in $l_i \equiv_{s_i} r_i$ and the algebra \mathcal{A}^b has specific properties we deduce that for each $1 \leq i \leq n$ there exists u_i such that $l_i \xrightarrow{\Gamma^b} u_i$ and $r_i \xrightarrow{\Gamma^b} u_i.$ Using the first item of the theorem we get $l_i \downarrow_{\Gamma} r_i.$ \square

Corollary 20. For each $s \in S$ and for each $u, v \in A_s$

$$u \Rightarrow_{\Gamma} v \text{ in } \mathcal{A} \text{ if and only if } u \Rightarrow_{\Gamma^b} v \text{ in } \mathcal{A}^b.$$

Proof. For each $(\forall Y)l \doteq_s r$ if $H \in \Gamma$ and for each Σ^b -morphism $h : T_{\Sigma^b}(Y^b) \rightarrow \mathcal{A}^b,$ using the second conclusion of the theorem 19 applied to the set $h(H)$ and the equality $h(H)^b = h_b(H^b)$ we deduce

$$(\forall u = v \in H)h(u) \downarrow_{\Gamma} h(v) \text{ in } \mathcal{A} \text{ if and only if } h_b(H^b) \xrightarrow{\Gamma^b} A_t \text{ in } \mathcal{A}^b.$$

The conclusion follows easily applying one step rewriting definitions. \square

The above propositions prove that the classic rewriting in an Σ -algebra \mathcal{A} is obtained by boolean rewriting in the Σ^b -algebra $\mathcal{A}^b.$

17. Conclusion

As the classic rewriting is equivalent to boolean rewriting in a specific algebra we get the conclusion that the boolean rewriting is more general than the classic rewriting.

References

- [1] BAADER F., NIPKOV T., *Term Rewriting and All That*, Cambridge University Press 1998.
- [2] CĂZĂNESCU V. E., *Curs de bazele informaticii*, volum II, Tipografia Universității București, 1983.
- [3] CĂZĂNESCU V. E., *Local equational logic*, in *Fundamentals of Computation Theory* (Ed. Z. Ésik), Lecture Notes in Computer Science **710**(1993), pp. 162–170.
- [4] CĂZĂNESCU V. E., *Local equational logic II*, in *Developments in Language Theory* (Eds. G. Rozenberg and A. Salomaa), World Scientific, 1994, pp. 210–221.
- [5] CĂZĂNESCU V. E., KUDLEK M., *Local Rewriting*, Romanian Journal of Information Science and Technology, **6**,1–2(2003), pp. 105–120.

- [6] DIACONESCU R., *The Logic of Horn Clauses is equational*, University of Oxford, Programming Research Group Technical Report PRG-TR-3-93, 1990.
- [7] GOGUEN J., *Theorem Proving and Algebra*, unpublished book.
- [8] OHLEBUSCH E., *Advanced Topics in Term Rewriting*, Springer, 2001.
- [9] ROȘU G., *Axiomatizability in inclusive equational logics*, Math. Struct. in Comp. Science, 2002.
- [10] TERESE, *Term Rewriting System*, Cambridge University Press 2003.